Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US04/032669

International filing date: 05 October 2004 (05.10.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/508,945

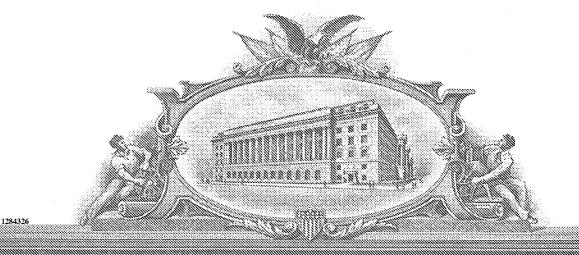
Filing date: 06 October 2003 (06.10.2003)

Date of receipt at the International Bureau: 21 February 2005 (21.02.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





'4'(d) Anil (100) Vancoda (na 12812; preus ben'ins; salandi, codias:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

February 10, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/508,945

FILING DATE: October 06, 2003

RELATED PCT APPLICATION NUMBER: PCT/US04/32669

Certified by

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING PROVISIONAL PATENT APPLICATION

Und r 35 USC 111(b)

(Not for DESIGN cases)

PROVISION APPLICATION

Box:

Hon. Commissioner of Patents Alexandria, VA 22313-1450

PROVISIONAL APPLICATION

Under Rule 53(c)

Sir: Herewith is a PROVISIONAL APPLICATION USE OF COX-2 INHIBITOR TO PREVENT T-CELL ANERGY INDUCED BY DENDRITIC CELLS WHEN IN CONTACT WITH COX-2 EXPRESSING CANCER **CELLS** 305912 Atty. Dkt. 81476 М# **Client Ref** including: Date: October 6, 2003 1. Specification: 14 pages 1A. ⊠Claim: pages 1B 🛛 1 Abstract pages Specification in non-English language 3. Drawings: sheet(s) 4. The invention ☐ was ☒ was not made by, or under a contract with, an agency of the U.S. Government. If yes, Government agency/contact # = 5. 🗌 Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned. 6. Small Entity Status → ☐ is Not claimed is claimed (**pre**-filing confirmation **required**) **NOTE: Do NOT File IDS!** 7. \square Attached: 8. This application is made by the following named inventor(s) (Double check instructions for accuracy.): John (1) Inventor S YU First Middle Initial Family Name Residence City State/Foreign Country Country of Citizenship (2) Inventor Gentao LIU First Middle Initial **Family Name** Residence City State/Foreign Country Country of Citizenship

9. NOTE: FOR ADDITIONAL INVENTORS, check box
and attach sheet (PAT102A) with same information regarding additional invent rs.

				Large/Small Entity		Fee Code
10. Filing Fee				\$160/\$80	+80	114/214
11. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				\$130	+0	139
12. If "assignment" box 5 is X'd, add recording fee				\$40	+0	581
13.				TOTAL FEE =	\$80	
Our Deposit Account No. 16-1805 Our	Order No.	81476 C#	30591 M #	2		

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-17 (missing or insufficient fee only) now or hereafter relative to this application or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

Pillsbury Winthrop LLP Intellectual Property Group

725 South Figueroa StreetSuite 2800 Los Angeles, CA 90017-5406

Lus Aligeles, CA 70017-3

Tel: (213) 488-7100

By Atty:

Seth D. Levy

Reg. No.

44,869

Sig:

1800

Fax: Tel: (213) 629-1033 (213) 488-7100

oig:

Atty/Sec: SDL/SBK

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

John S. YU and Gentao LIU

Group Art Unit: Unknown

Appln. No.: Unassigned

Examiner: Unassigned

Filed: October 6, 2003

Atty Dkt: 81476-305912

C# M#

Title: USE OF COX-2 INHIBITOR TO PREVENT T-CELL ANERGY INDUCED BY DENDRITIC CELLS WHEN IN CONTACT WITH COX-2 EXPRESSING CANCER CELLS

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

Express Mail Label No. EV235021766US Date of Deposit: October 6, 2003

Mail-Stop PROVISIONAL APPLICATION Commissioner for Patents P. O. BOX 1450 Alexandria, Virginia 22313-1450

Dear Sir:

I hereby certify that the following documents:

- X Request for Filing Provisional Application
- Provisional patent application (14 pages of specification; 1 page of claims;
 1 page abstract)
- X Check in the amount of \$80 to cover the filing fee
- X 6 sheets of drawings
- X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to: Mail-Stop PROVISIONAL APPLICATION, Commissioner for Patents, P. O. BOX 1450, Alexandria, Virginia 22313-1450.

Dated: October 6, 2003

Sherry B. Kolber

APPLICATION FOR A PROVISIONAL UNITED STATES PATENT IN THE NAME OF

JOHN S. YU AND GENTAO LIU

for

USE OF COX-2 INHIBITOR TO PREVENT T-CELL ANERGY INDUCED BY DENDRITIC CELLS WHEN IN CONTACT WITH COX-2 EXPRESSING CANCER CELLS

Assigned to:

CEDARS-SINAI MEDICAL CENTER

Prepared by:

PILLSBURY WINTHROP LLP 725 South Figueroa Street, Suite 2800 Los Angeles, California 90017-5406 Telephone: (213) 488-7100 Facsimile: (213) 629-1033

Attorney Docket No.: 81476-305912

Express Mail Mailing Label No.: EV235021766US

USE OF COX-2 INHIBITOR TO PREVENT T-CELL ANERGY INDUCED BY DENDRITIC CELLS WHEN IN CONTACT WITH COX-2 EXPRESSING CANCER CELLS

Introduction

Cancer cells can be recognized by the immune system and in some cases be eradicated, and the principal of the effective immune response is antigen-specific CD8+ cytotoxic T lymphocytes (CTLs) (1-3). This consensus is also supported by the evidence that several antigenic peptides complexed to class I major histocompatibility (MHC) molecules have been observed as tumor-associated antigens (TAA) (4-6). It has been shown that tumor-specific CTLs must recognize TAA on the same antigen-presenting cells (APCs) that cross-present the CD4+ helper T (Th) cell epitopes in a cognate manner, indicating the requirement for epitope linkage between Th and CTLs for induction of potent antitumor immune responses (7-9). Given the importance of Th responses in anti-tumor immunity, the ability of APCs to skew the tumor-specific Th cells towards Th-subset-1 (Th1) state of activation may play a significant role in augmenting the efficacy of any systemically mounted tumoricidal immune response.

Dendritic cells (DCs) are one of the most favorable candidates for induction of immunity against cancers. Because DCs are pivotal APCs equipped as follows; (i) capture and process TAA via dead cancer cells (7), (ii) display high levels of class I and II MHC-antigenic peptide complexes with co-expression of co-stimulatory molecules, such as CD54, CD80 and CD86 (10), (iii) produce high amount of interleukin (IL)-12 which polarizes Th cells toward Th1 (11-13). In addition, it has been shown that DCs express TNF family ligands such as TNF, Lymphotoxin-α₁β₂, Fas ligand, and TNF-related apoptosis inducing ligand (TRAIL), and are fully equipped for an efficient direct apoptotic killing of cancer cells (14). Importantly, natural or therapy-induced high frequencies of tumor-infiltrating DCs have been associated with better clinical prognosis and/or regression of disease (15-17). Although these evidences are showing that DCs may play a critical role for prevention of cancers expansion in their rudiments as a member of the immune surveillance, the mechanism of cancers generating escape from the surveillance is not completely elucidated. DCs activate antigen-specific CTLs but also

maintain CTLs tolerance (18, 19). It has been explained that one of the mechanisms of DCs-mediated CTLs tolerance is caused in immature DCs and the maturation arrest on DCs is caused in their uptake of apoptotic cells (18-20). On the other hand, it has been shown that CTLs tolerance is mediated by CD83+ mature DCs which are induced by ProstaglandinE₂ (PGE₂) stimulation, and the interface between the mature DCs and CD4+ Th cells possesses a critical checkpoint of it (21, 22).

PGE₂ is one of the glioma-associated soluble factors which contribute to the cellular immune suppression (23-25), but the exact mechanism is unclear. PGE2 is synthesized from arachidonic acid (AA) , and the critical stage in the PGE_2 formation is oxygenation of free AA by cyclooxygenase (COX) enzymes which have been identified two isoforms, COX-1 and COX-2 (26). COX-1 is constitutively present in many cell types, whereas COX-2 is inducible enzyme by several stimuli, such as IL-1- β and TNF- α (27-30). COX-2 overexpressing human malignant tumors have been reported including colonrectal cancer (31), lung cancer (32), bladder cancer (33), and malignant glioma (34). In addition, it has also been shown that COX-2 overexpression in glioma cells is associated with clinically worse prognosis (35). In this experiment, we demonstrate a human in vitro model that COX-2 overexpressing glioma generates Th2 polarization. A surfeit of PGE2 from COX-2 overexpressing glioma caused DCs to enhance IL-10 and to suppress IL-12, resulting the tumor-infiltrating DCs were affected to bias naïve CD4+Th cells development toward Th2 that the tumor-specificity was given. Here we propose a new mechanism of tumor-specific CTLs tolerization induced by DCs which infiltrated into COX-2 overexpressing gliomas, and these findings may be warning against DCbased anti-cancer immunotherapy.

Materials and Methods

Tumor Cells. U-87MG human glioma cell line was obtained from American Type Culture Collection (Rockville, MD). LN-18 cell line was kindly provided by Dr. Erwin Van Meier (Emory University, Atlanta, GA). A primary cultured human glioma (MG-377) was established from surgical specimen of a glioblastoma patient at neurosurgical institute, Cedars-Sinai Medical Center.

Media and Reagents. Tumor cells were maintained at 37°C and 5% CO₂ in DMEM supplemented with 10% heat-inactivated FBS, 2mM glutamate, 10mM HEPES, 100U/ml penicillin, 100 μ g/ml streptomycin. 100% DMSO dissolved NS-398 (selective COX-2 inhibitor; Cayman Chemical, Anna Arbor, MI) was used at concentrations of 10 μ M. Recombinant human TNF- α (BioSource International, Camarillo, CA) at concentration of 20ng/ml and TRAIL (PeproTech Inc., Rocky Hill, NJ) at concentration of 300ng/ml were used.

COX-2 cDNA Plasmid and Transfection. The COX-2 cDNA was isolated from the pSG5-COX-2 plasmid which contains a full-length COX-2 cDNA in the pSG expression vector (kindly provided by Dr. Richard Kulmacz, University of Texas Medical School, Houston, TX) by EcoRI and XbaI digestion. COX-2 expression plasmid, designated pTracer-COX-2 was constructed as follows: COX-2 cDNA was inserted into the site between EcoRI and XbaI on pTracer-CMV2 expression vector (Invitrogen, Carlsbad, CA) which is available to express GFP fused to the selectable marker Zeocin. Lipofectamine 2000 (Invitrogen) and Plus Reagent (Invitrogen) were used for transfection to LN-18 according to the manufacture's protocols. The transfected cells of pTracer-COX-2, and the empty plasmid, pTracer-CMV2, were selected by Zeocin (Invitrogen), and stable transfectants were established (LN-18-COX-2, LN-18-EP).

Detection of Apoptotic Death. Tumor cells were treated with TNF-q and TRAIL for 24hr. Apoptotic death was detected using Annexin V-FITC Apoptosis Detection Kit I (Phamingen, San Diego, CA). Cells were stained with Annexin V-FITC (Ann V) and propidium iodide (PI) according to the manufacture's protocol. Early stage of apoptosis was defined by Ann V⁺/ PI⁻ staining as analyzed by a fluorescence multicolor flow cytometry (FACScan; Becton Dickinson Immunocytometry Systems, San Jose, CA).

Western Blot. Samples were were extracted with buffer containing 1% Triton X-100, 150mM NaCl, 50mM Tris (pH 7.5) and 1mM PMSF. The cell debris was removed by centrifugation at 14,000g for 20 min and the supernatant was subjected to SDS-PAGE and loaded on a 7.5% polyacrylamide gel (Bio-Rad, Hercules, CA). Electrophoretic transfer to nitrocellulose membranes (Amersham Biosciences,

Piscataway, NJ) was followed by immunoblotting with a mouse IgG1 anti-COX-2 antibody (Phamingen) or a mouse IgG1 anti-beta-tublin (Sigma). This was followed by hybridization with a peroxidase-linked anti-mouse IgG antibody (Amersham Bioscience). The signal was detected by chemiluminiscence using ECL detection system (Amersham Bioscience) and Hyperfilm ECL (Amersham Bioscience).

DCs and lymphocytes Preparations. Human peripheral blood mononuclear cells (PBMC) were separated from peripheral blood of a healthy donor and the aforementioned glioblastoma patient using Ficoll-Hypaque density centrifugation. PBMC were resuspended in X-VIVO 15 serum-free medium (Cambrex; Santa Rosa, CA) and allowed to adhere to 24-well culture plate at 37°C. The non-adherent cells were removed after 2hr. CD4+ and CD8+ cells were isolated from these non-adherent cells with MiniMACS cell separation unit (Milenyi Biotec, Auburn, CA) and microbeads conjugated mouse monoclonal anti-human CD4 and CD8 antibody (Milenyi Biotec). CD4+ and CD8+ cells were used for mixed lymphocyte reaction (MLR) describing later. The adherent cells were subsequently cultured in X-VIVO 15 serum-free medium. 20ng/ml GM-CSF (BioSource International) and 10ng/ml IL-4 (BioSource International) were added to the cultures on days 0, 2, and 4. After 7-day culture, the floating cells were transferred to fresh plates with fresh X-VIVO 15 medium as immature DCs (iDCs). Several iDCs were cultured with 300ng/ml TRAIL and/or 0.1 □M PGE₂ (Sigma, St Louis, MO) and/or 20ng/ml TNF-\alpha for 16hr (TRAIL-DCs, PGE₂-DCs, TRAIL/PGE₂-DCs, TRAIL/ TNF-\alpha -DCs, TRAIL/ TNF-\alpha /PGE₂-DCs).

Co-culture with Glioma Cells and DCs. Glioma cells were plated to 6-well culture plate at density of $1x10^6$ cells/well/2ml with fresh X-VIVO 15 medium. Several glioma cells were pre-treated with NS-398 for 8hr. The NS-398 treated glioma cells were cultured with TNF- α , TRAIL, and NS-398. The other cells were cultured with TNF- α and TRAIL. After 24hr culture with these agents, iDCs were put into the wells at the density of $3x10^5$ cells/well, and were co-cultured with glioma cells for 16hr. Several iDCs were cultured with TNF- α /TRAIL or TNF- α /TRAIL/NS398 without tumor cells. Human glioma cell lines (U-87MG and LN-18) and stable

transfectants (LN-18-COX-2 and LN-18-EP) were co-cultured with healthy donor's iDCs, and MG-377 were co-cultured with autologous iDCs.

Flow Cytometric Analysis. Monoclonal mouse anti-human antibodies of PE-conjugated CD83, CD86, HLA-DR (Phamingen), FITC-conjugated CD11c (BioSource International), and uncojugated CCR7 (R&D Systems, Minneapolis, MN) were used for cell surface analysis of DCs. PE-conjugated F(ab')₂ goat anti-mouse immunoglobulins (DAKO Cytomation, Carpinteria, CA) was used for secondary antibody. Mouse IgG1 and IgG2a (Phamingen) were used for isotype control. DCs were stained with anti-CD86, HLA-DR, CD83, and CCR7 antibodies before staining with anti-CD11c antibody, and CD11c+ DCs were isolated with MiniMACS cell separation unit and microbeads-conjugated anti-FITC antibody (Milenyi Biotec).

Tumor cells were dyed red with PKH-26 (Sigma) before co-culturing with iDCs. DCs were stained with anti-CD11c-FITC antibody after 16hr co-culture, and were isolated as mentioned above. Isolated CD11c+ DCs were analyzed by FACScan where double positive cells indicate uptake of the tumor cells by DCs.

ELISA. PGE₂ ELISA kit (Cyman Chemical, Ann Arbor, MI) was used according to the manufacture's protocol for measurement in the supernatants of glioma cell cultures. IL-10 and IL-12 p70 ELISA kits (Phamingen) were used according to the manufacture's protocols for measurement in the supernatants of cultures of tumor cells or isolated CD11c+ DCs. CD40-CD40L interaction is a main trigger of IL-12 production by DCs (36). Based on this evidence, cells were co-cultured with NIH-CD40L cells (kindly provided by Dr. Gang Zeng, National Cancer Institute, NIH, MD; the ratio of NIH-CD40L: DCs = 1:1) for 16hr, and the supernatants of co-cultures were used as the samples. The lower detection limit of each kit was 7.8pg/ml. All samples and standards were run in duplicate.

MLR and ELISPOT CD11c+ DCs were co-cultured with autologous CD4+ and CD8+ lymphocytes with fresh X-VIVO 15 medium for 7 days (the initial stimulation; the ratio of DCs:lymphocytes = $1:40\sim50$) in 6-well culture plate. The lymphocytes were transferred to fresh plates with fresh X-VIVO 15 medium and were re-stimulated with 1×10^5 glioma cells for 48hr. After re-stimulation, Only CD4+ cells

were isolated again as mentioned above, and were re-suspended in fresh X-VIVO 15 medium.

Dual human INF- γ /IL-10 Eli-spot PVDF-enzymatic kit (Cell Sciences, Norwood, MA) and PVDF-bottomed 96-well plate (Cellular Technology, Cleveland, OH) were used for ELISPOT assay of the isolated CD4+ T cells. CD4+ T cells ($1x10^5$ cells/ 100μ l/well) were plated to INF- γ - or IL-10-capture antibody coated wells and were cultured at 37°C and 5% CO₂ for 20hr. All samples were run in duplicate. Spot-forming was analyzed by Alpha Imager Spot-reading System (Alpha Innotech, San Leandro, CA).

Statistics. Student's T test was used to compare with each result.

Results

Human Glioma Cells Overexpress COX-2 Protein and Highly Produce PGE₂. Expression of COX-2 protein in human glioma cells was examined by Western blot (Fig. 1 A). COX-2 protein was detected in U-87MG, LN-18, and MG-377, and the treatment with TRAIL and TNF-α highly induced COX-2 expression in U-87MG and MG-377. COX-2 inhibitor did not extinguish the COX-2 expression. There was no major difference of COX-2 expression in each group of LN-18.

PGE₂ in the culture supernatants was measured by ELISA (Fig. 1 B). The treatment with TRAIL and TNF- α caused a significant increase in PGE₂ in the supernatants of COX-2 overexpressing cells U-87MG and MG-377 compared with each control (p<0.01), and addition of NS-398 into their cultures significantly reduced it (p<0.01). Although PGE₂ was detectable in the supernatant of LN-18, there was no significant difference in each group.

DCs Efficiently Phagocytose Apoptotic Glioma Cells. Apoptotic death was analyzed using Annexin V-FITC Apoptosis Detection Kit and FACScan where Ann V⁺/PI cells indicate early stage of apoptosis and Ann V⁺/PI⁺ cells also indicate dead cells. The treatment of glioma cells with TRAIL and TNF- α caused a significant increase in the ratio of apoptotic death (p<0.01) and Ann V⁺/PI⁺ death (p<0.01) compared with the control in each group (Fig 2 A). The treatment of iDCs with TRAIL and TNF- α did not

induce their death (data not shown). NS-398 treatment did not cause a change of the death ratio in each glioma (data not shown).

Glioma cells were dyed red with PKH-26 before co-culturing with iDCs. DCs were stained with anti-CD11c-FITC antibody after 16hr co-culture, and isolated CD11c+DCs were analyzed by FACScan where double positive cells indicate DCs phagocytosed glioma cells. It has been shown that DCs can phagocytose apoptotic cells by the recognition of phosphatidylserine which can be detected by Annexin V (37, 38). In fact, a significant increase of Ann V⁺ death in glioma caused a significant increase (p<0.01) in the ratio of DCs which phagocytosed glioma cells compared with each control (Fig 2 B).

DCs Can Be Maturedby The Treatment with TNF-α after Phagocytosis of Glioma Cells. DCs were stained with anti-CD86, HLA-DR, CD83, CCR7, and CD11c antibody. CD11c+ DCs were isolated with cell separation unit and microbease-conjugated anti-FITC antibody. Single-cultured iDCs were highly expressing CD86 and HLA-DR, but did not express CD83 or CCR7 which are maturation markers of DCs (Fig 3). The co-stimulation of TRAIL and/or PGE2 on single-cultured iDCs up-regulated CD86 expression (geometric mean; iDCs:647, TRAIL-DCs:1086, PGE2-DCs:2779, TRAIL/PGE2-DCs:1176), but they did not induce CD83 or CCR7 expression (Fig 3). The co-stimulation with TNF-α on single-cultured iDCs induced both CD83 and CCR7 expressions, and co-stimulation with TNF-α and PGE2 strongly up-regulated these maturation markers (Fig 3). These data indicate that stimulation of TNF-α is required for maturation of immature DCs.

Expression of CD86 on DCs containing glioma cells was significantly down-regulated compared with single-cultured iDCs (geometric mean; co-cultured DCs: 317-480, single cultured DCs: 647-4720; p< 0.01), but there was no significant difference on the ratio of CD86 positive cells (co-cultured DCs: 95-98%, single cultured DCs: 96-98%) (Fig 3). Importantly, both CD83 and CCR7 expressions on co-cultured DCs were highly expressed as well as single-cultured TRAIL/ TNF-α/PGE₂-DCs (Fig 3). There was no statistical difference on geometric means of the expressing markers in the variation of co-cultured glioma cells or NS-398 treatment (Fig 3). These data indicate that DCs can be completely matured by the effect of TNF-α stimulation, even if they contained apoptotic glioma cells.

Inhibition of COX-2 Protein in Gliomas Is Effective in IL-10 Reduction and IL-12p70 Augmentation in Tumor-infiltrating DCs. IL-10 and IL-12p70 in the supernatants of cultures were measured by ELISA, and the results were statistically compared with NS-398(-) single-cultured DCs (Fig. 4). COX-2 inhibitor for single-cultured DCs did not effect to change IL-10 or IL-12p70 production. U-87MG (p<0.01), LN-18 (p<0.05) and MG-377 (p<0.05) affected the co-cultured DCs to enhance IL-10, and also U-87MG (p<0.01) and LN18 (p<0.05) affected to suppress IL-12p70. COX-2 inhibition in gliomas remedied the IL-10 surplusage and IL-12 deficiency in the co-cultured DCs. There was no detectable IL-10 or IL-12p70 in the supernatants of glioma cell cultures (data not shown). These data are indicating that COX-2 expression in glioma cells may relate with IL-10 augmentation and/or IL-12 reduction in co-cultured DCs.

COX-2 Inhibitor in Glioma Has a Capacity for DCs-mediating Th Polarization to Bias Toward Th1. MLR by co-culturing with CD4+,CD8+ lymphocytes and autologous DCs was performed, and Th cell polarization was confirmed by ELISPOT assay (Fig. 5A-F). The results were statistically compared with the lymphocyte which was initially-stimulated by NS-398(-) single-cultured DCs. The DCs co-cultured with glioma cells induced tumor-specific Th response which was polarized toward Th2, and inhibition of COX-2 in gliomas resulted in polarization toward Th1 (Fig. 5A-D).

The DCs co-cultured with autologous tumor (MG-377) did not change the tumor-specific Th response compared with their single-cultured DCs (Fig. 5E, F), indicating that glioma patients already had a similar Th response against their tumors which could be induced by the tumor-infiltrating DCs. However, this Th response was polarized toward Th2. The DCs co-cultured with COX-2-inhibited glioma cells changed Th polarization toward Th1 (Fig. 5E, F). These data indicate that COX-2 inhibitor in glioma has a capacity for DCs-mediating Th polarization to bias toward Th1.

Discussion

Natural or therapy-induced high frequencies of tumor-infiltrating DCs have been associated with better clinical prognosis and/or regression of disease (15-17). DCs inoculated in the brain, considered an immunologically privileged site due to the lack of lymphatic drainage and the nature of the blood brain barrier (BBB) (39),

migrated to the lymph nodes and induced anti-tumor immunity against brain tumors due to the stimulation of CTLs activity (16, 17). DCs migration from periphery to lymphoid organs is associated with expression of chemokine receptor 7 (CCR7) which is one of the maturation markers of DCs (40), indicating several tumor-infiltrating DCs do not fall into a maturation arrest after the DCs phagocytose apoptotic tumor cells. In our experiment, TNF-α stimulation for tumor-infiltrating DCs could induce CD83+/CCR7+ mature DCs after they phagocytosed apoptotic cells, suspecting the DCs can migrate to lymph nodes and can present the TAA to T cells. DCs containing tumor cells down-regulate the expression of co-stimulatory molecules such as CD86. The down-regulation of co-stimulatory molecules on DCs may be associated with the limited efficacy of anti-tumor immunity, but it is difficult to explain the mechanism of tumor generating escape from the DCs-mediating immune surveillance only in this reason.

It has been reported that tumor culture supernatant-exposed DCs lacked the capacity to produce IL-12 and did not acquire full allostimulatory activity (41, 42). Based on these evidences, the authors had a hypothesis that one of the suppressive factors in tumor culture supernatant for DCs may be PGE₂ because of the evidences that PGE₂ induced mature DCs were impaired IL-12 production and were associated with antigen-specific CTLs tolerance (21, 22). It has been known that PGE₂ synthesized by COX enzymes such as COX-1 and COX-2 (26) is one of the gliomaassociated soluble factors which contribute to the cellular immune suppression (23-25). However, the mechanism of the cellular immune suppression that gliomaassociated PGE₂ induces has not been completely elucidated. Ironically, TNF-α which induces DCs maturation, and TRAIL which induces tumor cell apoptosis, both of which are equipped by DCs, up-regulated COX-2 expression in glioma cells. Therefore, TNF-α and TRAIL induced PGE₂ overproduction from COX-2 overexpressing gliomas. Infiltration of DCs into TNF-α /TRAIL treated glioma caused DCs to enhance IL-10 and to suppress IL-12, resulting the DCs were affected to bias naïve CD4+Th cells development toward Th2 in both of allogenic and autologous models. This tendency was strongest on the highest PGE₂ producing glioma U-87MG. Interestingly, the autologous model revealed that glioma patients already had a Th2

response against their tumors similar to what could be induced by the DCs co-cultured with autologous tumor. The DCs infiltrating into COX-2 inhibited glioma polarized the Th cells toward Th1. COX-2 overexpression in glioma cells was associated with clinically worse prognosis (35), and the supplement of recombinant IL-12 was required for induction of potent therapeutic efficacy on DCs-based glioma immunotherapy (43). These evidences are completely compatible with our results, because the ability of tumors to skew the tumor-specific Th cells towards Th2 polarization should play a significant role in limiting the efficacy of CTLs-based tumoricidal immune response and IL-12 should play a critical role for Th polarization toward Th1 in DCs-mediating immunity of tumor-bearing hosts.

The relationship between PGE₂ in glioma products and the Th cell polarization mediated by the tumor-infiltrating DCs was confirmed using pTracer-COX-2 stable transfectant, LN-18-COX-2 and pTracer-CMV2 stable transfectant, LN-18-EP where LN-18-EP expects to produce the same immune suppressants except PGE₂ compared with LN-18-COX-2. In this experiment, LN-18-COX-2 highly produced PGE₂, and completely affected DCs to bias Th cells development toward Th2. Addition of soluble PGE₂ into culture supernatant of LN-18-EP affected Th2 polarization same as LN-18-COX-2. Furthermore, COX-2 inhibitor could suppress PGE₂ that LN-18-COX-2 produces, and the DCs infiltrating them could polarize the Th cells toward Th1, indicating the PGE₂ in glioma product is a major target of glioma generating tumor-specific CTLs tolerance mediated by glioma-infiltrating DCs. These results are showing one of the mechanisms of escape from the immune surveillance what glioma plays in their rudiments. The elucidation of mechanism on immunological tolerance against progressive cancers is required for induction of effective anti-cancer immunity, and one of the essential mechanisms is COX-2 overexpression in cancers.

References

- 1. De Plaen, E., C. Lurquin, A. Van Pel, B. Mariame, J.P. Szikora, T. Wolfel, C. Sibille, P. Chomez, and T. Boon. 1988. Immunogenic (tum-) variants of mouse tumor P815: cloning of the gene of tum- antigen P91A and identification of the tum- mutation. *Proc Natl Acad Sci U S A* 85:2274-2278.
- 2. Van den Eynde, B., B. Lethe, A. Van Pel, E. De Plaen, and T. Boon. 1991. The gene coding for a major tumor rejection antigen of tumor P815 is identical to the normal gene of syngeneic DBA/2 mice. *J Exp Med* 173:1373-1384.
- 3. van der Bruggen, P., C. Traversari, P. Chomez, C. Lurquin, E. De Plaen, B. Van den Eynde, A. Knuth, and T. Boon. 1991. A gene encoding an antigen recognized by cytolytic T lymphocytes on a human melanoma. *Science* 254:1643-1647.
- 4. Slingluff, C.L., Jr., A.L. Cox, R.A. Henderson, D.F. Hunt, and V.H. Engelhard. 1993. Recognition of human melanoma cells by HLA-A2.1-restricted cytotoxic T lymphocytes is mediated by at least six shared peptide epitopes. *J Immunol* 150:2955-2963.
- 5. Boon, T., J.C. Cerottini, B. Van den Eynde, P. van der Bruggen, and A. Van Pel. 1994. Tumor antigens recognized by T lymphocytes. *Annu Rev Immunol* 12:337-365.
- 6. Fisk, B., T.L. Blevins, J.T. Wharton, and C.G. Ioannides. 1995. Identification of an immunodominant peptide of HER-2/neu protooncogene recognized by ovarian tumor-specific cytotoxic T lymphocyte lines. *J Exp Med* 181:2109-2117.
- 7. Bennett, S.R., F.R. Carbone, F. Karamalis, R.A. Flavell, J.F. Miller, and W.R. Heath. 1998. Help for cytotoxic-T-cell responses is mediated by CD40 signalling. *Nature* 393:478-480.
- 8. Ridge, J.P., F. Di Rosa, and P. Matzinger. 1998. A conditioned dendritic cell can be a temporal bridge between a CD4+ T-helper and a T-killer cell. *Nature* 393:474-478.
- 9. Schoenberger, S.P., R.E. Toes, E.I. van der Voort, R. Offringa, and C.J. Melief. 1998. T-cell help for cytotoxic T lymphocytes is mediated by CD40-CD40L interactions. *Nature* 393:480-483.
- 10. Banchereau, J., and R.M. Steinman. 1998. Dendritic cells and the control of immunity. *Nature* 392:245-252.
- 11. Koch, F., U. Stanzl, P. Jennewein, K. Janke, C. Heufler, E. Kampgen, N. Romani, and G. Schuler. 1996. High level IL-12 production by murine dendritic cells: upregulation via MHC class II and CD40 molecules and downregulation by IL-4 and IL-10. *J Exp Med* 184:741-746.
- Macatonia, S.E., N.A. Hosken, M. Litton, P. Vieira, C.S. Hsieh, J.A. Culpepper, M. Wysocka, G. Trinchieri, K.M. Murphy, and A. O'Garra. 1995. Dendritic cells produce IL-12 and direct the development of Th1 cells from naive CD4+ T cells. *J Immunol* 154:5071-5079.
- Rissoan, M.C., V. Soumelis, N. Kadowaki, G. Grouard, F. Briere, R. de Waal Malefyt, and Y.J. Liu. 1999. Reciprocal control of T helper cell and dendritic cell differentiation. *Science* 283:1183-1186.
- Lu, G., B.M. Janjic, J. Janjic, T.L. Whiteside, W.J. Storkus, and N.L. Vujanovic. 2002. Innate direct anticancer effector function of human immature dendritic cells. II. Role of TNF, lymphotoxin-alpha(1)beta(2), Fas ligand, and TNF-related apoptosis-inducing ligand. *J Immunol* 168:1831-1839.
- 15. Lynch, D.H., A. Andreasen, E. Maraskovsky, J. Whitmore, R.E. Miller, and J.C. Schuh. 1997. Flt3 ligand induces tumor regression and antitumor immune responses in vivo. *Nat Med* 3:625-631.
- 16. Kikuchi, T., Y. Akasaki, T. Abe, and T. Ohno. 2002. Intratumoral injection of dendritic and irradiated glioma cells induces anti-tumor effects in a mouse brain tumor model. *Cancer Immunol Immunother* 51:424-430.
- 17. Ehtesham, M., P. Kabos, M.A. Gutierrez, K. Samoto, K.L. Black, and J.S. Yu. 2003. Intratumoral dendritic cell vaccination elicits potent tumoricidal immunity against malignant glioma in rats. *J Immunother* 26:107-116.
- 18. Steinman, R.M., S. Turley, I. Mellman, and K. Inaba. 2000. The induction of tolerance by dendritic cells that have captured apoptotic cells. *J Exp Med* 191:411-416.
- 19. Verbovetski, I., H. Bychkov, U. Trahtemberg, I. Shapira, M. Hareuveni, O. Ben-Tal, I. Kutikov, O. Gill, and D. Mevorach. 2002. Opsonization of apoptotic cells by autologous iC3b facilitates clearance by immature dendritic cells, down-regulates DR and CD86, and up-regulates CC chemokine receptor 7. *J Exp Med* 196:1553-1561.

- Sauter, B., M.L. Albert, L. Francisco, M. Larsson, S. Somersan, and N. Bhardwaj. 2000.
 Consequences of cell death: exposure to necrotic tumor cells, but not primary tissue cells or apoptotic cells, induces the maturation of immunostimulatory dendritic cells. *J Exp Med* 191:423-434.
- 21. Albert, M.L., M. Jegathesan, and R.B. Darnell. 2001. Dendritic cell maturation is required for the cross-tolerization of CD8+ T cells. *Nat Immunol* 2:1010-1017.
- 22. Kalinski, P., J.H. Schuitemaker, C.M. Hilkens, and M.L. Kapsenberg. 1998. Prostaglandin E2 induces the final maturation of IL-12-deficient CD1a+CD83+ dendritic cells: the levels of IL-12 are determined during the final dendritic cell maturation and are resistant to further modulation. *J Immunol* 161:2804-2809.
- 23. Kuppner, M.C., Y. Sawamura, M.F. Hamou, and N. de Tribolet. 1990. Influence of PGE2- and cAMP-modulating agents on human glioblastoma cell killing by interleukin-2-activated lymphocytes. *J Neurosurg* 72:619-625.
- 24. Sawamura, Y., A.C. Diserens, and N. de Tribolet. 1990. In vitro prostaglandin E2 production by glioblastoma cells and its effect on interleukin-2 activation of oncolytic lymphocytes. *J Neurooncol* 9:125-130.
- Kokoglu, E., Y. Tuter, K.S. Sandikci, Z. Yazici, E.Z. Ulakoglu, H. Sonmez, and E. Ozyurt. 1998.
 Prostaglandin E2 levels in human brain tumor tissues and arachidonic acid levels in the plasma membrane of human brain tumors. *Cancer Lett* 132:17-21.
- Leslie, C.C. 1997. Properties and regulation of cytosolic phospholipase A2. J Biol Chem 272:16709-16712.
- 27. Smith, W.L., R.M. Garavito, and D.L. DeWitt. 1996. Prostaglandin endoperoxide H synthases (cyclooxygenases)-1 and -2. *J Biol Chem* 271:33157-33160.
- 28. DuBois, R.N., J. Awad, J. Morrow, L.J. Roberts, 2nd, and P.R. Bishop. 1994. Regulation of eicosanoid production and mitogenesis in rat intestinal epithelial cells by transforming growth factor-alpha and phorbol ester. *J Clin Invest* 93:493-498.
- 29. Feng, L., Y. Xia, G.E. Garcia, D. Hwang, and C.B. Wilson. 1995. Involvement of reactive oxygen intermediates in cyclooxygenase-2 expression induced by interleukin-1, tumor necrosis factoralpha, and lipopolysaccharide. *J Clin Invest* 95:1669-1675.
- 30. Tamura, M., S. Sebastian, S. Yang, B. Gurates, Z. Fang, and S.E. Bulun. 2002. Interleukin-1 beta elevates cyclooxygenase-2 protein level and enzyme activity via increasing its mRNA stability in human endometrial stromal cells: an effect mediated by extracellularly regulated kinases 1 and 2. *J Clin Endocrinol Metab* 87:3263-3273.
- 31. Eberhart, C.E., R.J. Coffey, A. Radhika, F.M. Giardiello, S. Ferrenbach, and R.N. DuBois. 1994. Up-regulation of cyclooxygenase 2 gene expression in human colorectal adenomas and adenocarcinomas. *Gastroenterology* 107:1183-1188.
- 32. Wolff, H., K. Saukkonen, S. Anttila, A. Karjalainen, H. Vainio, and A. Ristimaki. 1998. Expression of cyclooxygenase-2 in human lung carcinoma. *Cancer Res* 58:4997-5001.
- 33. Mohammed, S.I., D.W. Knapp, D.G. Bostwick, R.S. Foster, K.N. Khan, J.L. Masferrer, B.M. Woerner, P.W. Snyder, and A.T. Koki. 1999. Expression of cyclooxygenase-2 (COX-2) in human invasive transitional cell carcinoma (TCC) of the urinary bladder. *Cancer Res* 59:5647-5650.
- Joki, T., O. Heese, D.C. Nikas, L. Bello, J. Zhang, S.K. Kraeft, N.T. Seyfried, T. Abe, L.B. Chen, R.S. Carroll, and P.M. Black. 2000. Expression of cyclooxygenase 2 (COX-2) in human glioma and in vitro inhibition by a specific COX-2 inhibitor, NS-398. Cancer Res 60:4926-4931.
- 35. Shono, T., P.J. Tofilon, J.M. Bruner, O. Owolabi, and F.F. Lang. 2001. Cyclooxygenase-2 expression in human gliomas: prognostic significance and molecular correlations. *Cancer Res* 61:4375-4381.
- 36. Cella, M., D. Scheidegger, K. Palmer-Lehmann, P. Lane, A. Lanzavecchia, and G. Alber. 1996. Ligation of CD40 on dendritic cells triggers production of high levels of interleukin-12 and enhances T cell stimulatory capacity: T-T help via APC activation. *J Exp Med* 184:747-752.
- 37. Albert, M.L., B. Sauter, and N. Bhardwaj. 1998. Dendritic cells acquire antigen from apoptotic cells and induce class I-restricted CTLs. *Nature* 392:86-89.
- 38. Albert, M.L., S.F. Pearce, L.M. Francisco, B. Sauter, P. Roy, R.L. Silverstein, and N. Bhardwaj. 1998. Immature dendritic cells phagocytose apoptotic cells via alphavbeta5 and CD36, and cross-present antigens to cytotoxic T lymphocytes. *J Exp Med* 188:1359-1368.

- 39. Cserr, H.F., and P.M. Knopf. 1992. Cervical lymphatics, the blood-brain barrier and the immunoreactivity of the brain: a new view. *Immunol Today* 13:507-512.
- 40. Yanagihara, S., E. Komura, J. Nagafune, H. Watarai, and Y. Yamaguchi. 1998. EBI1/CCR7 is a new member of dendritic cell chemokine receptor that is up-regulated upon maturation. *J Immunol* 161:3096-3102.
- 41. Kiertscher, S.M., J. Luo, S.M. Dubinett, and M.D. Roth. 2000. Tumors promote altered maturation and early apoptosis of monocyte-derived dendritic cells. *J Immunol* 164:1269-1276.
- 42. Pirtskhalaishvili, G., G.V. Shurin, C. Esche, Q. Cai, R.R. Salup, S.N. Bykovskaia, M.T. Lotze, and M.R. Shurin. 2000. Cytokine-mediated protection of human dendritic cells from prostate cancerinduced apoptosis is regulated by the Bcl-2 family of proteins. *Br J Cancer* 83:506-513.
- 43. Akasaki, Y., T. Kikuchi, S. Homma, T. Abe, D. Kofe, and T. Ohno. 2001. Antitumor Effect of Immunizations With Fusions of Dendritic and Glioma Cells in a Mouse Brain Tumor Model. *J Immunother* 24:106-113.

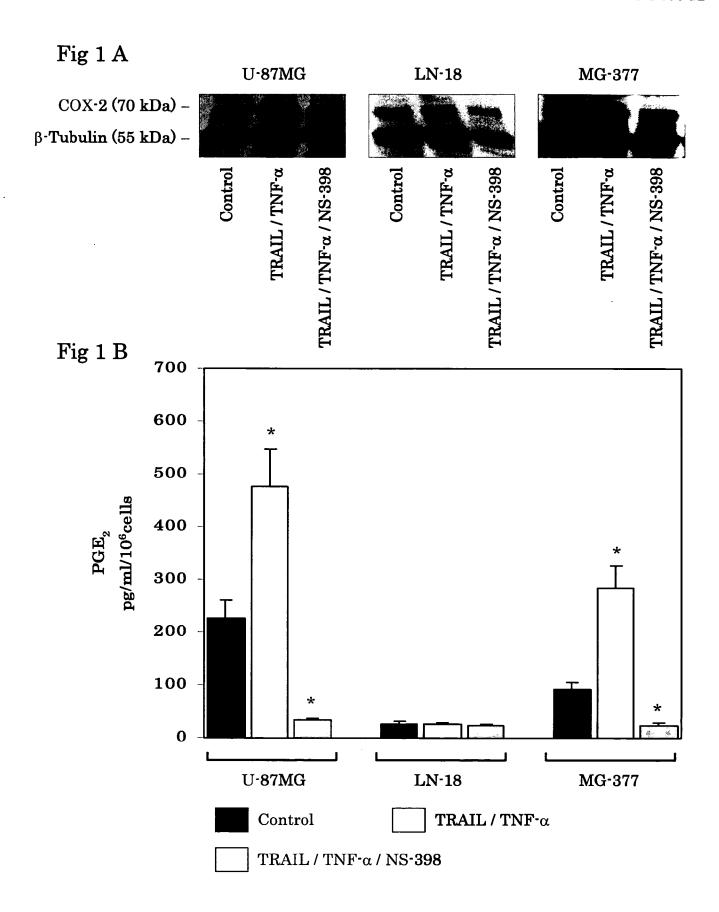
While the description above refers to particular embodiments of the present invention, it will be understood that many modifications may be made without departing from the spirit thereof. The accompanying claims are intended to cover such modifications as would fall within the true scope and spirit of the present invention. The presently disclosed embodiments are therefore to be considered in all respects as illustrative and not restrictive, the scope of the invention being indicated by the appended claims, rather than the foregoing description, and all changes that come within the meaning and range of equivalency of the claims are therefore intended to be embraced therein.

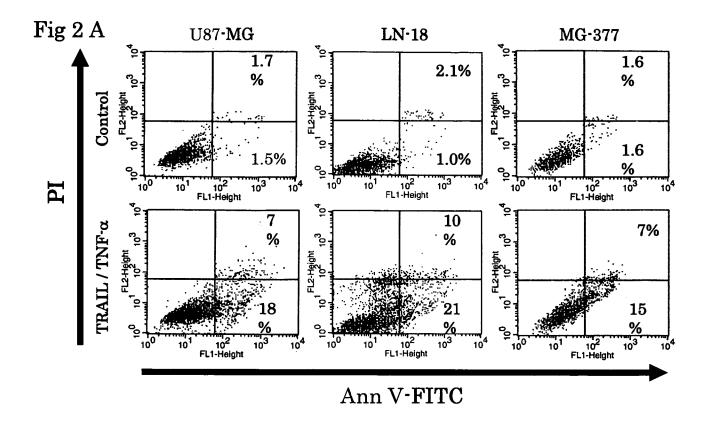
WHAT IS CLAIMED IS:

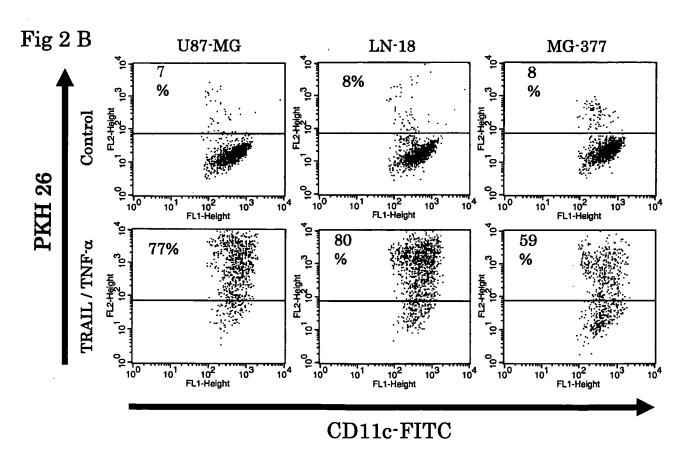
A method for enhancing the effect of a dendritic cell therapy, comprising:
 administering a dendritic cell therapy; and
 administering a COX-2 inhibitor in conjunction with said dendritic cell
 therapy to enhance an anti-tumor response.

ABSTRACT

ProstaglandinE₂ (PGE₂) induces CD83+ mature dendritic cells (DCs) which maintain antigen-specific cytotoxic T lymphocytes (CTLs) tolerance as well as immature DCs. The interface between mature DCs and CD4+ helper T (Th) cells has been shown to possess a critical checkpoint of it. PGE₂ synthesized by cyclooxygenes (COX) enzymes such as COX-1 and COX-2 is one of the glioma-associated soluble factors which contribute to the cellular immune suppression, but the exact mechanism is unclear. In this experiment, human glioma cell lines U-87MG, LN-18 and primary cultured glioma (MG-377) were co-cultured with DCs as a human in vitro model of tumor-infiltrating DCs. DCs could efficiently phagocytose apoptotic glioma cells, and could be matured by TNFα stimulation. Infiltration of DCs into glioma caused DCs to enhance IL-10 and to suppress IL-12, resulting the DCs were affected to polarize Th cells toward Th-subset-2 (Th2). COX-2 inhibitor could suppress PGE₂ that COX-2 overexpressing glioma produces, and the DCs infiltrated into COX-2 suppressed glioma could polarize Th cells toward Th-subset-1 (Th1), indicating PGE₂ in glioma products is a major target of glioma generating CTLs tolerance. These results indicate a new mechanism of tumor-specific CTLs tolerization induced by DCs infiltrated into COX-2 overexpressing gliomas.







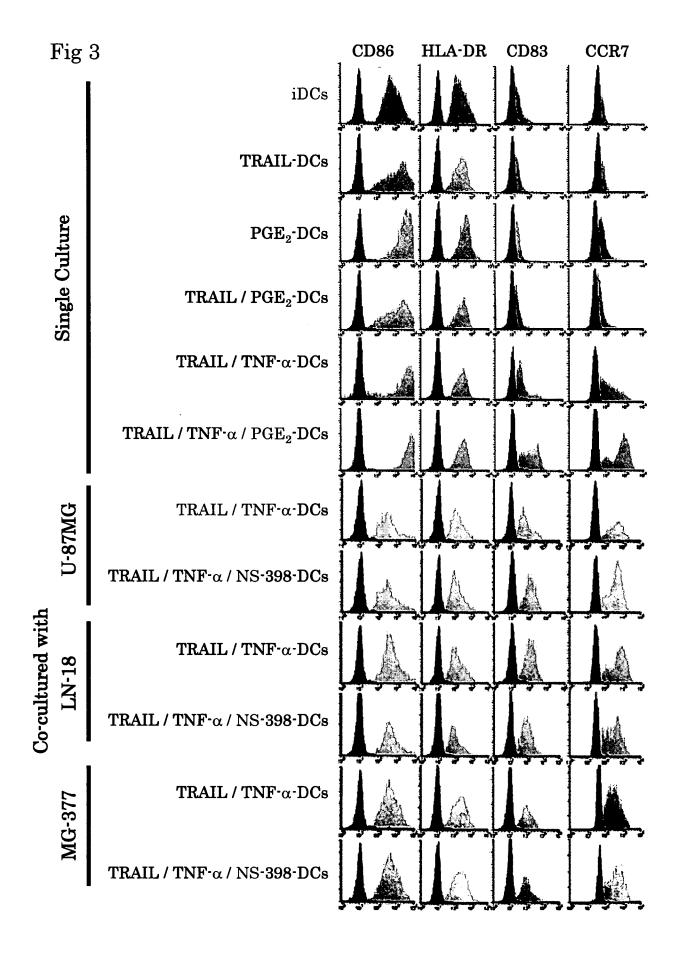


Fig 4

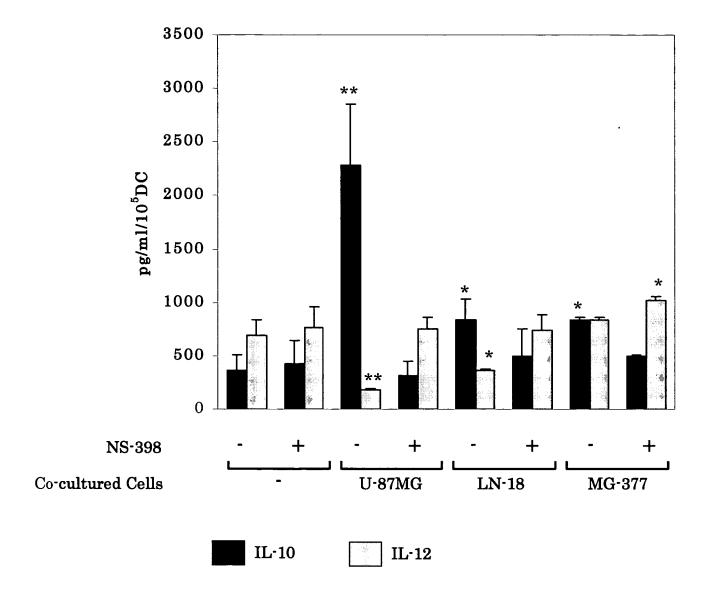


Fig 5 A Fig 5 B 300 300 Spot-forming/10⁵ CD4+T Cells Spot-forming/105 CD4+T Cells ** 250 250 200 200 150 150 100 100 50 50 NS-398 NS-398 Co-cultured DCs with U87-MG Co-cultured DCs with LN-18 Co-cultured DCs with U87-MG Single-cultured DCs Single-cultured DCs Co-cultured DCs with LN-18 Initial-stimulation Initial-stimulation Re-stimulation Re-stimulation U87-MG LN-18 Fig 5 C Fig 5 D 70 Spot-forming/105 CD4+T Cells Spot-forming/105 CD4+T Cells 60 ** 50 40 40 30 30 20 20 10 10 NS-398 NS-398

Co-cultured DCs with U87-MG

U87-MG

Single-cultured DCs

Initial-stimulation

Re-stimulation

Co-cultured DCs with LN-18

Single-cultured DCs

Initial-stimulation

Re-stimulation

Co-cultured DCs with U87-MG

LN-18

Co-cultured DCs with LN-18

